



Office of General Services

DESIGN & CONSTRUCTION GROUP
THE GOVERNOR NELSON A. ROCKEFELLER
EMPIRE STATE PLAZA
ALBANY, NY 12242

ADDENDUM NO. 2 TO PROJECT NO. 45604

CONSTRUCTION, HVAC, PLUMBING AND ELECTRICAL WORK
REPLACE EXPANSION JOINTS
EAST AND WEST ARTERIAL ROADWAYS
EMPIRE STATE PLAZA
ALBANY, NY 12242

October 18, 2024

NOTE: This Addendum forms a part of the Contract Documents. Insert it in the Project Manual. Acknowledge receipt of this Addendum in the space provided on the Bid Form.

GENERAL REQUIREMENTS

1. SECTION 011000 SUMMARY OF THE WORK Discard all previously issued versions and substitute the accompanying Section (pages 011000 – 1 thru 011000 – 8) noted “Addendum #2; 10/18/2024.”

END OF ADDENDUM

Brady M. Sherlock, P.E.
Director, Division of Design
Design & Construction

SECTION 011000

SUMMARY OF THE WORK

PART 1 GENERAL

1.01 WORK COVERED BY CONTRACT DOCUMENTS

- A. The title and location of the Work is printed on the cover of this Project Manual.
- B. Type of Contract: Unit price.

1.02 RELATED CONTRACTS

- A. The Project consists of the following separate contracts:

Construction Work	Project No. 45604-C
HVAC Work	Project No. 45604-H
Plumbing Work	Project No. 45604-P
Electrical Work	Project No. 45604-E
- B. The suffix letter at the end of the project number distinguishes the separate Contracts. The Sections in Division 01 of the Specifications which have more than one suffix letter (such as this Section) are common to each related Contract.

1.03 SUBSTANTIAL AND PHYSICAL COMPLETION DATES

- A. Substantially complete the Work within 734 days after the Agreement is approved by the Comptroller.
 - 1. The time allocated for the performance of work under this contract includes 10 days for notification of the Contractor of the Comptroller's approval of the Agreement.
 - 2. The approval of the Agreement by the Comptroller constitutes the filing of the Contract Documents as a public record and notice to the Contractor that a fully executed contract exists between the Contractor and the State.
- B. Physically complete the Work within 90 days after the established Substantial Completion date.

1.04 CONTRACT AWARD SUBMITTALS

- A. Submittal No. 1: Submit the CONTRACTOR'S LIST OF SUBCONTRACTORS-SUPPLIERS information required in SCHEDULES AND RECORDS Article in Specification Section 013000 not later than 15 days after approval of the Contract by the Comptroller.
- B. Submittal No. 2: Submit Preliminary Project Schedule related information noted in 013113 Project Planning and Scheduling or 013200 Construction Progress Documentation, whichever section is applicable, within 15 days after approval of

the Contract by the Comptroller for review by the Director's Representative and OGS Scheduling.

- C. Submittal No. 3: Submit the Submittal Coordinator Qualifications not later than 10 days after Award. Include resume and references, and other certification, licenses, or other requested information.

1.05 RESTRICTED WORK HOURS

- A. Construction Work Contract:
 - 1. All work on the Roadway Level of the Arterial Highway to and from Column Lines S3-S2, and N2-N3 to be completed during the following hours:
 - a. 7:00 AM - 3:00 PM, Monday through Friday.
 - b. The Work Zone Traffic Control drawing (WZTN-01) indicates work being completed during off-peak traffic hours. The off-peak traffic hours are defined as 9:00 am – 3:00 pm, Monday through Friday.
 - c. Work during off-peak hours will be required during the slab scope of work by Swan Street. The work must be coordinated with the Director's Representative. The off-peak hours are defined as 9:00 pm – 4:00 am, Monday through Friday. All construction debris and/or equipment must be removed from this area by 5:00 am.
 - d. Construction of this project will require sequencing:
 - 1.) When work is occurring on the West Arterial Roadway:
 - a.) One (1) lane of the West Bound Arterial Roadway will be open to allow parking access to P1 Parking Level, P2 Parking Level, and the Visitor Parking Level.
 - b.) One (1) lane through the North tube will be open for west bound through traffic.
 - c.) Both lanes of the East Bound Arterial will be open.
 - 2.) When work is occurring on the East Arterial Roadway:
 - a.) Both lanes of the West Bound Arterial Roadway will be open.
 - b.) One (1) lane of the East Bound Arterial Roadway will be open to allow access to the P3 Parking Level, P2 Parking Level, P1 Parking Level, and the P1
 - c.) South Access Road to Madison Ave.
 - d.) One (1) lane through the North tube will be open for east bound through traffic.
 - 3.) Refer to the required traffic controls as specified under Specification Section 015526. This will allow entrance and exit to and from the parking levels.
 - e. There will be one lane of traffic open in the north tube with the required traffic controls as required under Specification Section 015526. This will allow thru-traffic to use the roadway.
 - f. If work is to be completed on Saturday or Sunday, the hours for construction work is to be established by the facility thru the

Director's Representative. All of the same traffic controls are to be adhered to.

2. All other work in all Contracts (not affected by the actual expansion joint repairs on the roadway level) to be completed during standard hours of operation.
- B. Plumbing Work Contract:
1. All work to be completed during standard hours of operation, as established by the facility thru the Director's Representative.
- C. HVAC Work Contract:
1. All work to be completed during standard hours of operation, as established by the facility thru the Director's Representative.
- D. Electrical Work Contract:
1. All work to be completed during standard hours of operation, as established by the facility thru the Director's Representative.
- E. All Contracts – North Tube Temporary Roadway Renovation:
1. All work to be completed during standard hours of operation, as established by the facility thru the Director's Representative.

1.06 RESTRICTED WORK PERIOD

- A. HVAC Work Contract: Do not perform Work requiring shut off of active heating piping and equipment on or after October 15th and up to, but not including May 1st unless approved otherwise, in writing, by the Director's Representative.
- B. Construction Work Contract: Do not perform the exterior concrete, waterproofing and related work on or after December 1st and up to, but not including April 1st, unless approved otherwise, in writing, by the Director's Representative. During this period, clear the work area of materials, equipment, and debris.
- C. Construction Work Contract: Do not perform the asphalt paving work on or after November 15th and up to, but not including April 15th, unless approved otherwise, in writing, by the Director's Representative. During this period, leave the disturbed areas stabilized for winter shutdown and clear the work area of materials, equipment, and debris.
- D. Construction Work Contract: Contractor to coordinate with the Director's Representative and the Department of Transportation (DOT) to confirm the shutdown period for the temporary roadway during the DOT's snow and ice period from November 1st to April 1st.

1.07 ITEMS NOT INCLUDED

- A. The following items shown on the Drawings are not included in the Contract:
1. Items indicated "NIC" (Not in Contract).
 2. Existing construction, except where such construction is to be removed, replaced, or altered.

1.08 CONFINED SPACE

- A. Comply with confined space and permit-required confined space as defined in Title 29, Part 1910, Section 146 of the Code of Federal Regulations (29CFR 1910.146).
- B. Comply with Safety Requirements for Confined Spaces (ANSI/ASSE Z117.1-2009).
- C. All spaces shall be treated as permit-required confined spaces until the Contractor and/or subcontractors are able to re-classify the space to a non-permit confined space as per 29CFR 1910.146 and ANSI/ASSE Z117.1-2009.
- D. Indicated confined spaces are not intended to limit or define Contractor's or subcontractors' regulatory compliance requirements. In addition to confined spaces indicated on the drawings, other confined spaces may be present or created by the work of this contract. Notify the Director's Representative, in writing, of confined spaces created or eliminated during execution of the Work.
- E. For the purpose of inspecting ongoing work, furnish at no additional cost to the State, personnel, as directed, to allow the Director's Representative to enter confined space and permit-required confined space in compliance with Title 29, Part 1910, Section 146 of the Code of Federal Regulations (29CFR 1910.146).

1.09 OCCUPANCY

- A. This is an occupied Facility. The building will be occupied and operational during execution of the Work. Ingress to and egress from the building shall be maintained at all times.

1.10 CONNECTION TO ELECTRICAL EQUIPMENT OR SYSTEMS

- A. Contractor will not be allowed to tie into electrical equipment or systems until the Division of Utilities Management has reviewed and approved the connection.
 - 1. Submit written procedures thru the Director's Representative to the Division of Utilities Management, detailing how the connection Work is proposed to be performed.
 - 2. After procedures have been approved, notify the Director's Representative at least 3 working days prior to the connection Work so that arrangements can be made to have a Division of Utilities Management Representative witness the Work.

1.11 CONTRACTOR USE OF PREMISES

- A. Work hours shall be as established by the Facility authorities thru the Director's Representative.
- B. Inform the Director's Representative of work area access requirements. The Director's Representative will coordinate and schedule the requirements with Facility staff to obtain and ensure timely availability of work areas.

- C. Check in with the Facility Representative, as directed, at the beginning of each workday. Furnish information regarding where employees will be working during the day.
- D. Comply with the Facility's Visitor Identification Policy. A copy of the current policy will be distributed at the initial job meeting.
- E. The following items are not allowed on the Site or on Facility premises.
 - 1. Firearms, ammunition, weapons, and dangerous instruments (other than tools required for the Work).
 - 2. Alcoholic beverages and persons under the influence of same.
 - 3. Cannabis and persons under the influence of same. Cannabis, as used herein shall refer to any form of cannabis that has psychoactive properties.
 - 4. Illegal controlled substances and persons under the influence of same.
 - 5. Cameras (except with written permission from the Director's Representative).
- F. Comply with Facility policies relating to smoking at the Site.
 - 1. Empire State Plaza Projects: No smoking is allowed within the contract limit line, Individuals observed smoking in non-designated areas will be removed from the site for the duration of the project.
- G. Routes of ingress and egress within the building to the location of the Work shall be as directed by the Director's Representative.
- H. Store materials and perform the Work so that pedestrian and vehicular traffic is not obstructed.
- I. Do not diminish the level of life safety during performance of the Work.
- J. Remove furniture and portable equipment which interferes with the execution of the Work and store where directed. Reset such items when directed.
- K. Utility Outages and Shutdowns: Do not interrupt utility services or branch services within the building except for the time required to make new connections. Arrange with the Director's Representative for the time and duration of interruptions of services. Provide temporary services required to maintain building services at all times other than during scheduled interruptions.
- L. Do not use existing elevators for the Work.
- M. Be responsible and accountable for employees, suppliers, subcontractors and their employees, with regard to their use of the premises. Direct them to comply with the Facility Regulations and with the security and traffic regulations.
- N. Furnish Facility authorities with a telephone number or method to contact the supervisor for the Work in case of an emergency after work hours, including weekends and holidays.

- O. Comply with applicable federal and State of New York Right-to-Know Law provisions. Provide Safety Data Sheets (SDS) documents for products that have SDS data prior to use on the project site.
 - 1. Upload and maintain electronic SDS documents on the Submittals Website (SDS tab).
 - 2. SDS tab is organized by prime contracts. To be readily identified, name products with SDS by specification section number and product name.
 - 3. Supply and maintain one hard copy of the appropriate SDS on the project site and one hard copy with the Facility's Right-to-Know Information Officer.

- P. Direct employees to be watchful for people in or near the work area where safety hazards may be present. Notify the Facility Safety/Security Department, if necessary, to remove them from the work area or Site.

- Q. Report fire and other emergency situations to the Facility Safety/Security Department immediately.

- R. Empire State Plaza Work Permits: Comply with facility requirements for Work Permits
 - 1. A weekly permit is required for all work
 - 2. Daily Permits are required for work that generates sparks, open flame or excessive heat, etc.
 - 3. Hot Work Permit are required a minimum of 24 hours in advance of the start of anticipated work,

1.12 OPENINGS AND CHASES IN NEW CONSTRUCTION

- A. Construction Work Contract:
 - 1. Unless specifically indicated otherwise, provide openings, chases, and similar items in new construction provided under this Contract, as required for items to be provided under related contracts.
 - 2. After the installation and completion of the items for which openings and chases have been provided, build in, over, around and finish the openings and chases to complete the Work.
 - 3. Provide all cutting, patching, and refinishing resulting from failure to provide the required openings and chases, if the necessary information was furnished by the related contractor before 24 hours of start of the applicable part of the Work.
 - 4. If related contractors fail to furnish drawings or written information covering the openings and chases they require in new construction at least 24 hours before installation of the Work affected by those items, the related contractors will be required to do all cutting, patching, and refinishing of the construction so affected, at their own expense.

- B. HVAC Work, Plumbing Work, and Electrical Work Contracts:
 - 1. Unless specifically indicated otherwise, furnish drawings or written information to the Construction Work Contractor covering the openings and chases required in new construction for the Work. If such information is not furnished at least 24 hours before start of the applicable part of the Construction Work Contractor's work, all

necessary cutting, patching, and refinishing will be included in the Contract at no additional cost to the State.

1.13 SPRAYED-ON FIREPROOFING FOR NEW CONSTRUCTION

- A. Construction Work Contract:
 - 1. Notify the related contractors 5 working days prior to the application of fireproofing.
 - 2. If related contractors fail to install hangers, clips, sleeves, and other items that will penetrate the fireproofing, the related contractors will be required to cut and repair the fireproofing at their own expense.

- B. HVAC Work, Plumbing Work, and Electrical Work Contracts:
 - 1. Construction Work Contractor will give 5 working days' notice prior to application of fireproofing.
 - 2. If hangers, clips, sleeves, and other items that will penetrate the fireproofing are not in place before application of the fireproofing by the Construction Work Contractor, all necessary cutting and repair of the fireproofing will be included in the Contract at no additional cost to the State.

1.14 REFERENCE SPECIFICATIONS AND STANDARDS

- A. Comply with the requirements of the various specifications and standards referred to in these Specifications, except where they conflict with the requirements of these Specifications. Such reference specifications and standards shall be the date of latest revision in effect at the time of receiving bids unless the date is given.

- B. DOT Specifications: If the abbreviation DOT appears in these Specifications, it shall mean the most current edition of the New York State Department of Transportation, Office of Engineering specifications entitled "STANDARD SPECIFICATIONS - CONSTRUCTION AND MATERIALS", including all applicable Addenda in effect at the time of receipt of bids. The DOT specifications may be purchased from the Department of Transportation, Plan and Publication Sales, 50 Wolf Road, Albany, NY 12232, (518) 457-2124.

1.15 LAYING OUT

- A. Examine the Contract Documents thoroughly and promptly report any errors or discrepancies to the Director's Representative before commencing the Work.

- B. Lay out the Work in accordance with the Contract Documents.
 - 1. Layouts which require the establishment of property lines or monuments shall be performed by a Land Surveyor licensed by New York State.

- C. Construction Work Contract:
 - 1. Provide and maintain axis lines on each floor.
 - 3. Provide and maintain marks 4 feet above finish floor on each column at each floor.

4. Take elevations and locations from control points located by the Director's Representative.

1.16 SPECIAL INSPECTIONS

- A. Special Inspections and tests are required by Chapter 17 of the Building Code of New York State (BCNYS). Inspections & Testing Services will be provided by the state unless otherwise noted.
- B. Contractors are responsible for notifying the Directors Representative regarding individual inspections listed in the **STATEMENT OF SPECIAL INSPECTIONS**. Contractors shall cooperate with the inspectors and testing agencies and sufficient notice and lead time (minimum 48 hours) must be allowed for inspection and testing to be performed.
- C. Where deficiencies are identified, the contractor must take corrective actions to comply with the contract documents or remedy the deficiencies in accordance with Article 9 of the General Conditions.

PART 2 PRODUCTS (Not Used)

PART 3 EXECUTION (Not Used)

END OF SECTION